



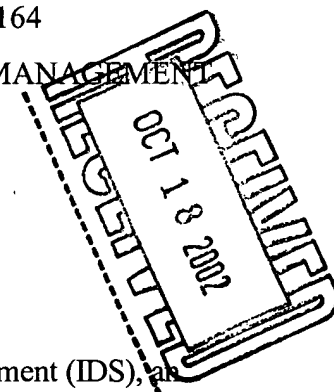
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Atty Docket No. 3271/50

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : BAUGHMAN et al.
Application No. : 09/972,736 Examiner: To be assigned
Filed : 10/5/2001 Group Art Unit: 2164
Title : INTEGRATED INVESTMENT PORTFOLIO MANAGEMENT
SYSTEM AND METHOD

Commissioner for Patents
Washington, D.C. 20231



INFORMATION DISCLOSURE STATEMENT

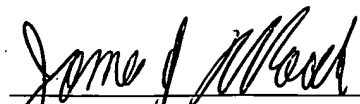
Applicant respectfully submits herewith an Information Disclosure Statement (IDS), as Information Disclosure Citation List, and a copy of each document listed therein. The Examiner is requested to consider the enclosed documents, make them of record, and indicate his or her consideration of the documents by initialing the enclosed Citation List adjacent the citation of each document. Please return a copy of the initialed Citation form to the applicant's undersigned Attorney.

This IDS is being filed before the mailing date of a first office action on the merits and thus no fee is due. In the event that a fee is determined to be due, the Commissioner is hereby authorized to charge such fee to Deposit Account No. 02-4270.

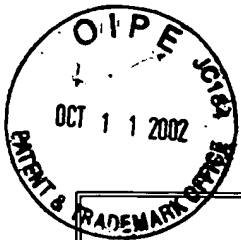
I hereby certify that this paper, together with any document referred to as attached or enclosed, is being deposited this date with the U.S. Postal Service with sufficient postage as First Class Mail addressed to: Commissioner for Patents, Washington, D.C. 20231.

Respectfully submitted,

Dated: 10/4/02


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| INFORMATION DISCLOSURE CITATION LIST | Atty. Docket No.: 3271/50 | Application Serial No.: 09/972,736 |
| | Applicants: BAUGHMAN et al. | |
| | Filing Date: October 5, 2001 | Group Art Unit: 2164 |

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OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

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